

INDEX OF DOCUMENTS CLIENT ACCOUNT OPENING FORM (FOR INDIVIDUALS ONLY)

S.No.	Name of the Document	Brief Significance of the Document	Page No.
A - MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	KRA Form & Account Opening Form	KYC form – Document captures the basic information about the constituent and instruction/check list for filling KYC form. Document captures the additional information about the constituent relevant to Trading & Demat Account.	1-7
2	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s). DP charges/Fee structure.	8
3	FATCA/CRS Declaration	Client Self-Certification Form for Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS).	9
MANDATORY DOCUMENTS / STANDARD DOCUMENTS FOR EQUITY & DEMAT			
4	Policies and Procedures	Document describing significant Policies and Procedures of the Stock Broker.	Attached separately. Separate Copy for Client also available on www.cilsecurities.com/Downloads
5	Rights and Obligations	Document stating the Rights & Obligations of Stock Broker / Trading Member, Authorised Person and client for trading on Exchanges (including additional rights & obligations in case of internet / wireless technology based trading)	
6	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
7	Guidance note	Document detailing do's and don'ts for trading on Exchange, for the education of the investors.	
8	Rights and Obligations of Beneficial Owner and depository Participant	Rights and Obligation of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	
B – NON MANDATORY DOCUMENTS / VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
9	Supplementary Agreement	Supplementary Terms and Conditions	10-14
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- Details to be filled in English and in Black / Blue Ink only
- All Originals to be produced for physical verification.
- Name & address of the applicant mentioned on the KYC form, should match with the submitted proof.
- Email Id & Mobile number is Mandatory for Account related Transactions.
- Strike off whichever option, in the account opening form, is not applicable. Corrections in the KYC form should be counter signed.

KRA / CKYC Verified Cases

CKYC Number: _____

Client Name: _____

Father's / Spouse Name: _____

PAN Number: _____ Date of Birth: _____

Marital Status : Single Married Address Shifted after KYC Verified : NO YES

KYC Verified: CVL NDML DOTEX CAMS KARVY

Client Signature: X _____

CHECK LIST FOR KYC (PLEASE TICK WHEREVER APPLICABLE)		
		Please Tick
Pan Card	Account Holder & Joint Holder's details (If any)	<input type="checkbox"/>
Photograph	One Coloured Latest Passport Size photograph	<input type="checkbox"/>
Proof of Identity (Any One)	a. Voter ID	<input type="checkbox"/>
	b. Valid Passport	<input type="checkbox"/>
	c. Valid Driving License	<input type="checkbox"/>
	d. Unique Identification Number (UID) (Aadhar) Optional	<input type="checkbox"/>
	e. Others (Please Specify)	<input type="checkbox"/>
Proof of Address (Any One) Note: If Correspondence and Permanent address are different provide both address proof	a. Voter ID	<input type="checkbox"/>
	b. Valid Passport	<input type="checkbox"/>
	c. Valid Driving License	<input type="checkbox"/>
	d. Bank Statement/Passbook (not more than 3 months old. Must contain complete address of client)	<input type="checkbox"/>
	e. Electricity Bill (not more than 3 months old)	<input type="checkbox"/>
	f. Landline Tel. Bill (not more than 3 months old)	<input type="checkbox"/>
	g. Others (Please specify)	<input type="checkbox"/>
Bank Proof with MICR/IFSC Code (Any One) (for 1st Holder only)	a. Bank statement /Bank Passbook with cheque leaf (not more than 3 months old)	<input type="checkbox"/>
	b. Banker certificate on letter head of the bank (ORIGINAL)	<input type="checkbox"/>
	c. Cancelled Cheque leaf	<input type="checkbox"/>
Demat Proof (Any One) (1st Holder only)	a. Client Master (Copy duly attested by DP)	<input type="checkbox"/>
	b. DP Statement /Transaction cum Holding Statement (Duly attested by DP)	<input type="checkbox"/>
Proof of Income (Any One) Mandatory for Derivatives Segments (1st Holder Only)	a. Copy of latest ITR acknowledgment	<input type="checkbox"/>
	b. In case of salary income – Salary Slip, Copy of Form 16	<input type="checkbox"/>
	c. Net-Worth certificate (Not more than 1 year old)	<input type="checkbox"/>
	d. Copy of Demat Account Holding Statement (not more than 3 months old)	<input type="checkbox"/>
	e. Bank Account Statement for last 6 months reflecting income	<input type="checkbox"/>

CIL SECURITIES LIMITED	
Name of Stock Broker/ Trading Member/ Clearing Member	CIL Securities Limited
SEBI Registration No.	INZ000169535
CDSL SEBI Registration No.	IN-DP-CDSL-40-99
GST No.	36AAACC9564C1ZQ (Telangana)
Registered & Correspondence Address	214, Raghava Ratna Towers, Chirag Ali Lane, Abids, Hyderabad - 500 001
Name of Compliance Officer	Mr. Krishna Kumar Maheshwari
Contact Details	Ph: 040-23202465, 040-23203155 / Email:advisors@cilsecurities.com
Name of CEO	Mr. Krishna Kumar Maheshwari
Contact Details	Ph: +91-040-23202465, 040-23203155 /Email: kkm@cilsecurities.com
For any grievance/ dispute please contact Stock Broker (CIL Securities Limited) at the above address or on Email id: complaints@cilsecurities.com or you can speak on phone Ph: +91-40-23203155. In case you are not satisfied with the response, please contact BSE at is@bseindia.com and Phone no. 022-22728016; NSE at ignse@nse.co.in and Phone no. 022-26598190; CDSL at complaints@cdslindia.com and Phone no. 1800-22-5533; MCX at grievance@mcxindia.com and Phone no. 022-67318888.	
You can also lodge your grievance with SEBI at http://scores.gov.in. For any quires, feedback or assistance, please contact SEBI office on toll free Helpline at 1800 22 7575/ 1800 266 7575.	



CIL SECURITIES LIMITED

KNOW YOUR CLIENT (KYC) / APPLICATION FORM (FOR INDIVIDUALS ONLY)

(Please fill in ENGLISH and BLOCK Letters with black/blue ink & tick the appropriate options)

Client Code: _____

CKYC No. (If already registered): _____

DP ID: _____

Client ID _____

A. IDENTITY DETAILS

1. Name of Applicant					1/19 X
2. Fathers/Spouse Name*					
3. Mother Name*			Maiden Name		
4. a. Gender	M-Male <input type="checkbox"/>	F-Female <input type="checkbox"/>			
b. Date of birth	c. Marital Status: Married <input type="checkbox"/>			Unmarried <input type="checkbox"/>	
5. Nationality	Indian <input type="checkbox"/>				
6. Status:	Resident <input type="checkbox"/>		Non-Resident <input type="checkbox"/>		
7. PAN	Aadhar/UID				
8. Specify the proof of identity submitted for PAN EXEMPT CASE	<input type="checkbox"/> UID (Aadhar)	<input type="checkbox"/> Passport	<input type="checkbox"/> Voter ID	<input type="checkbox"/> Driving License	<input type="checkbox"/> Others

B. ADDRESS DETAILS

1. Correspondence Address/Residence					
City/Town/Village			District		
Pin Code	State			Country	
2. Contact/Mobile No	Email id				
Tel (Off.)	Tel (Res.)				
3. Specify the proof of address submitted for Residence Correspondence Address:					
4. Permanent Address					<input type="checkbox"/> Same as Correspondence address
(if different from above correspondence address)					
City/Town/Village			District		
Pin Code	State			Country	
5. Specify the proof of address submitted for Permanent Address:					
Proof of address to be provided by Applicant. Please submit ANYONE of the following valid documents & tick (✓) against the document attached <input type="checkbox"/> Passport <input type="checkbox"/> Ration Card <input type="checkbox"/> Registered Lease / Sale Agreement of Resident <input type="checkbox"/> Driving License <input type="checkbox"/> Voter Identity Card <input type="checkbox"/> *Latest Bank A/c Statement / Passbook <input type="checkbox"/> *Latest Telephone Bill (only Land Line) <input type="checkbox"/> *Latest Electricity Bill <input type="checkbox"/> * Latest Gas Bill <input type="checkbox"/> Others (Please specify) _____ * Not more than 3 months old. Validity/Expiry date of proof of address submitted _ / _ / _					
Permanent Address of Resident Applicant If different from above (correspondence address) or overseas address, (mandatory) for Non-Resident Applicant					
City / Town / Village	Pin Code		State	Country	

5. Specify the proof of address submitted for Residence / Permanent Address:

DECLARATION

I here declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes there in, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it. I hereby consent to receive information through SMS/Email on the above registered number / email address.

Place		
Date	Signature of Applicant	2/19 X

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Details of Employee / AP	KYC and Documents verified with Originals	Client Interviewed by & In-Person Verification	CIL Securities Limited Seal/Stamp of the Intermediary
Name			
Designation & Code No.			
Institution Name	CIL Securities Limited	CIL Securities Limited	

TRADING & DEMAT ACCOUNT RELATED DETAILS

TYPE OF ACCOUNT	INDIVIDUAL <input type="checkbox"/>	Sub Status <input type="checkbox"/>	Resident <input type="checkbox"/>	Other <input type="checkbox"/>
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C. OTHER DETAILS:

Gross Annual Income Details or Net worth in Rs.	(Please tick(√): Below 1 Lakh <input type="checkbox"/> 1-5 Lakhs <input type="checkbox"/> 5-10 Lakhs <input type="checkbox"/> 10-25 Lakhs <input type="checkbox"/> >25 Lakhs <input type="checkbox"/> (Net worth should not be older than 1 year)
Occupation	(Please tick (√) any one and give brief details): <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Retired <input type="checkbox"/> Government Service <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify)
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person <input type="checkbox"/> Related to a Politically Exposed Person <input type="checkbox"/> Not Politically Exposed Person <input type="checkbox"/> Not Related to a Politically Exposed Person
Other Information	Details of Name/PAN/Address/Photograph of Promoters/Partners/KARTA's/Trustee and Whole time Directors, please use the Annexure to fill the Details for Non Individual Account

D. BANK ACCOUNT(S) DETAILS OF SOLE/FIRST HOLDER

Bank Name & Branch		
Account No.		<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRI <input type="checkbox"/> NRO <input type="checkbox"/> Others
MICR Code		IFSC Code
Proof of Bank Submitted: <input type="checkbox"/> Bank Statement <input type="checkbox"/> Cancelled Cheque (with Client Name and A/c No. Pre-Printed) <input type="checkbox"/> Bank Pass Book <input type="checkbox"/> Bank Verification Letter (Original copy)		
Date and Place		
Signature		

Note: In case of Multiple Bank details update, please download the format from www.cilsecurities.com/downloads

E. DEPOSITORY ACCOUNT(S) DETAILS

	DP 1 Details	DP 2 Details
Depository	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL	<input type="checkbox"/> CDSL <input type="checkbox"/> NSDL
DP Name		
Client Name		
DP ID		
BO ID		
Proof of DP submitted	<input type="checkbox"/> DP Holding Statement	<input type="checkbox"/> Client Master Report (CMR)

- 1) Client name & DP A/c No. on the proof of DP submitted should match with the mentioned in the account opening form.
- 2) DP statement should clearly show DP ID & Client ID.

F. TRADING PREFERENCE

Please sign in the relevant boxes where you wish to trade (Please strike off the Exchange/Segment not chosen by you)

EXCHANGES	SEGMENT	SIGNATURE OF THE CLIENT
NSE	Cash Market	3/19
BSE	Cash Market	4/19
NSE	Mutual Funds	5/19
BSE	Mutual Funds	6/19
NSE	Derivatives Market	7/19
NSE	Currency Derivative	8/19
MCX	Commodity Derivatives	9/19

G. INVESTMENT/TRADING EXPERIENCE

- No Prior Experience _____
- Years in Cash Market / Derivatives Market / Commodities _____
- Years in Other investment related fields _____

H. GST DETAILS (As applicable, State wise) FOR GST NO. if Any (on CONTRACT NOTE& BILL)

GST NO : _____
 Validity date : _____
 Name of the State : _____

I. PAST REGULATORY ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/ any other authority against the client/constituent or its Partners/promoters/whole directors/authorized persons in charge of dealing in securities during the last

3 Years : Yes No (if YES please Specify)

J. DEALING THROUGH AP'S AND OTHER BROKERS

- If client dealing through any other Member, provide the following details (in case dealing with multiple Members, provide details of all in a separate sheet containing all the information as mentioned below:)

Member/Authorized Person's Name : _____
 Exchange: _____ Registration No: _____
 Registered Office Address : _____
 Ph : _____ Fax: _____ Email : _____
 Client: _____ Details of disputes/dues pending from/to such Member/AP: _____

K. INTRODUCER DETAILS (Optional)

Name of the Introducer	_____
Address & Phone No.	_____
Status of the Introducer	<input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee <input type="checkbox"/> Others
Signature of the Introducer	_____

M. ADDITIONAL DETAILS

Facilities for Trading	Whether you wish to avail the facility of (please specify) <input type="checkbox"/> Internet Trading <input type="checkbox"/> Wireless technology <input type="checkbox"/> Call and Trade
Contract Note	Whether you wish to receive (please specify) <input type="checkbox"/> Electronic Contract Note (ECN) <input type="checkbox"/> Physical Contract Note

N. NOMINEE FORM

Declaration	I/We the sole holder/Joint holder/Guardian (in case of minor) hereby declare that: <input type="checkbox"/> I/We wish to nominate <input type="checkbox"/> I/We do not wish to nominate
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NOMINEE DETAILS

Name of the Nominee			
Relationship with Client (BO)		Date of Birth	
Address			
City/Town/Village		State	Pin code

Note : In case of multiple nominees, the declaration form can be downloaded from www.cilsecurities.com or call at KYC Help desk 040 23203155.

If Nominee is a minor, details of Guardian:

Name of Guardian Address & Contact No.	:	_____
	:	_____
Signature of Guardian		_____

WITNESS: (Only applicable in case of the account holder has made nomination)

1) Name	:	_____
Address	:	_____
Signature		_____
2) Name	:	_____
Address	:	_____
Signature		_____

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or mis-representing, I am / we aware that I/we may be held liable for it.

I/We confirm having read/been explained and understood the contents of tariff sheet and all mandatory / Non-mandatory / voluntary documents.

I/We further confirm having read and understood the contents of the "Rights and Obligations" documents(s) and "Risk Disclosure Document" and Do's & Don'ts and PMLA Guidelines. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/we have also been informed that the mandatory documents and standard set of documents has been displayed for information on Member's designated website, i.e www.cilsecurities.com

Signature of Sole/First Holder 10/19 X	Signature of Second Holder	Signature of Third Holder
Date	Place	

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I/We undertake that we have made the client aware of 'Policy and Procedures' Tariff sheet and all the Mandatory / Non-Mandatory / Supplementary / Voluntary documents. I/We have also made the client aware of 'Rights and obligation' document (s), RDD Do's and Don'ts and Guidance Note and PMLA Guidelines. I/We have given / sent him a copy of all the KYC documents. I/We undertake that any change in Policy and Procedures; Tariff sheet and Non-mandatory documents would be duly intimated to the clients. I/We undertake that any change in Rights and obligation' and RDD; Do's & Don'ts and PMLA Guidelines would be made available on my/our website, if any, for the information of the clients.

Date: _____

Signature of the Authorized Signatory
(Seal/ Stamp of the Stock Broker)

TARIFF SHEET

BROKERAGE SLAB FOR TRADING ACCOUNT

Equity Cash	Slab %			Minimum Paisa	AP Code
Daily Square up					
Delivery Based					
	Futures % or Flat Rs.			Options per Lot Rs.	
	Flat Rate	Slab %	Min. Paisa		
Equity F&O					
Currency Derivatives					
Commodity Derivatives					

Brokerage will be exclusive of transaction charges, stamp duty, Security Transaction Tax, GST, SEBI Turnover fee or any other tax/levy imposed by exchange/SEBI/any other Government agencies.

Other Charges:

- Processing charges of Rs.50/- plus GST will be debited towards KYC registration, Data uploading and Downloading with KRA Agency.
 - KRA Modifications of the existing client charges is Rs.25/- plus GST.
 - Late payment charges @ 18% p.a. will be debited to clients account.
 - In case where brokerage generated is less than Rs.20/- per exchange then Brokerage rate would be applied at 2.5% of the trade value.
 - In case the client Email Id is not registered with CIL Securities Limited or Client fails to submit new Email Id / In case of bounced mail, then the charge of Rs.25/- per dispatch of contract note will be charged.
- Charges/Service standard are subjects to revision at sole discretion of CIL Securities Limited from time to time with a notice of 7 days in advance. Notice may be given by Ordinary post/Courier/Email/SMS

Tariff applicable to Beneficiary Account Holder on CDSL

Service Particulars	Investor		Trader	Special Scheme	Scheme BSDA – A HV Less than Rs.50,000/-	Scheme BSDA – A HV between Rs.50,001/- and Rs.2,00,000/-
	<input type="checkbox"/> Scheme A	<input type="checkbox"/> Scheme B	<input type="checkbox"/> Scheme D	<input type="checkbox"/> Scheme E		
A) Account Opening Charges :	POA not Registered	(Only for POA Clients & With	For Corporates			
Account Opening	Nil	Nil	Nil	Nil	Nil	Nil
Upfront Payment	Rs.200/-	Rs.200/-	Rs.1000/-	Rs.500/- (Rs.3000/- deposit and Rs.2500/- will be returned while closing of Demat Account)	Rs.200/-	Rs.200/-
Annual Maintenance (Charged from 2 nd Year)	Rs.300/-	Rs.200/-	Rs.1000/-	NIL	Nil	100/-
Issue of New Instruction Slip Book	Rs.20/-+Rs.25/- Courier Charges	Rs.20/-+Rs.25/- Courier Charges	Rs.20/-+Rs.25/- Courier Charges	Rs.20/-+Rs.25/- Courier Charges	Rs.20/-+Rs.25/- Courier Charges	Rs.20/-+Rs.25/- Courier Charges
B) Transaction Charges :						
Sale per Instruction through CIL	0.03%(Min.Rs.15/-/Max Rs.50/-)	Rs.12/- per ISIN	Rs.12/- per ISIN	Rs.12/- per ISIN	Rs.35/- per ISIN	Rs.35/- per ISIN
Off Market Transaction	Rs.50/- per ISIN	Rs.50/- per ISIN	Rs.50/- per ISIN	Rs.12/- per ISIN	Rs.35/- per ISIN	Rs.35/- per ISIN
Late Transaction Charges	Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-
Instruction Failure	Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-
C) Dematerialisation :						
Dematerialisation per Certificate	Rs.2/-	Rs.2/-	Rs.2/-	Rs.2/-	Rs.2/-	Rs.2/-
Courier Charges per Demat request upto 100 grams	Rs.25/-	Rs.25/-	Rs.25/-	Rs.25/-	Rs.25/-	Rs.25/-
Demat Rejection	Rs.25/-+Rs.25/- Courier Charges	Rs.25/-+Rs.25/- Courier Charges	Rs.25/-+Rs.25/- Courier Charges	Rs.25/-+Rs.25/- Courier Charges	Rs.25/-+Rs.25/- Courier Charges	Rs.25/-+Rs.25/- Courier Charges
D) * Rematerialisation (per Certificate)	*Rs.25/- +Rs.25/- Courier Charges	*Rs.25/- +Rs.25/- Courier Charges	*Rs.25/- +Rs.25/- Courier Charges	*Rs.25/- Rs.25/- Courier Charges	*Rs.25/- Rs.25/- Courier Charges	*Rs.25/- +Rs.25/- Courier Charges
E) Pledge:						
Creation/Confirmation of Pledge	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-
Closure of Pledge	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-
Invocation of Pledge	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-

* For every 100 shares a flat fee of Rs.25/- + GST will be levied.

NOTES

- The rates are subject to revision from time to time.
- The additional Courier Charges for Demat shall be leviable in case the Certificates weight is above 100 grams.
- The GST shall be levied as may be applicable from time to time.
- The AMC billing shall be done on yearly basis and transaction billing on monthly basis. The payment shall be released by BO on the due date and delay therein is subject to payment with interest on outstanding amount @ 18% per annum.
- The extra statement, if any, required shall be charged @ Rs.5/- per page for first 10 pages and thereafter @ Rs.2/- per page.
- BO shall be charged Rs.100/- on bounced cheques or bank charges whichever is higher.
- If a Client/BO opt for POA, POA Charges is Rs.110/- (One Time Only).
The Charges and Terms and Conditions herein above are accepted.

11/19X		
Signature of Sole/First Holder Name:	Signature of Second Holder Name:	Signature of Third Holder Name:

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) - (CRS) DECLARATION FORM

[Mandatory for all Investors (Guardian in case of minor) and Joint holder(s)]

Trading A/c No. _____ Demat A/c No. _____

Is your Country of Tax Residency other than India - YES No

If Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type.

Sr. No.	Country of Tax Residency #	Foreign Address	Tax Payer Identification Number / Functional Equivalent	Identification Type "TIN" or other. please specify
1.				
2.				
3.				

to include all countries other than India, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries especially of USA

DECLARATION & SIGNATURE(S) (To be signed as per mode of holding)

Client agrees to provide the trading member and/or depository participant with any documentation or information requested relating to individual or entity tax status.

To the extent required by the trading member and/or depository participant, client hereby consents to the disclosure and reporting of any tax related information obtained or held by the trading member and/or depository participant to any local or foreign regulatory or tax authority ("Tax Authority").

Upon request by the trading member and/or depository participant, client hereby agrees to obtain a written waiver or consent from the entity's "substantial owners" or "controlling persons" and to provide those consents to the trading member and/or depository participant to permit it to disclose and report tax and account specific financial information to any local or foreign Tax authority.

The terms "substantial owners" and "controlling persons" shall have the meaning as defined under local or foreign tax laws, regulatory guidance or inter-governmental cooperation agreements.

The potential consequences for failure to comply with requests for tax information, failure to respond to requests for waivers or consents for tax information disclosure, and/or failure to respond to requests to obtain waivers or consents from substantial owners or controlling persons, include, but are not limited to:

- (a) trading member and/or depository participant has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations;
- (b) trading member and/or depository participant has the ability to withhold taxes that may be due from certain payments made to the client's account;
- (c) trading member and/or depository participant has the right to pay relevant taxes to the appropriate tax authority;
- (d) trading member and/or depository participant has the right to refuse to provide certain services; and
- (e) trading member and/or depository participant has the discretion to close client accounts.

The client agrees to inform, or respond to any request from, the trading member and/or depository participant, if there are any changes to tax information previously provided.

12/19X Signature of Sole/First Holder Name:	Signature of Second Holder Name:	Signature of Third Holder Name:
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In consideration of the mutual understanding as set forth in this Agreement the parties hereto have agreed to the following terms and conditions:

1. Order Entry:

I/We am/are fully aware that the orders are placed in my/our unique client code which are recorded and verifiable by me/us through the website of the exchange. I/We confirm that I/We shall accept and settle the trades accordingly without any dispute whatsoever.

I/We do not require the Trading Member to obtain from me order placement, modification and/or cancellation details in writing.

I/We also do not require the Trading Member to provide order confirmation, modification and / or cancellation slip or copy thereof.

2. Recording of Conversation:

I agree that orders, instructions and other communications given or made over the telephone, may be routed through CIL Securities Limited interactive voice Response Channel or other telephone System and may be recorded by CIL Securities Limited. I also agree that such recording and the records of any orders instructions and communications given or made by me with the Member by electronic mail, fax or other electronic means shall be admissible as evidence and shall be final and binding upon me as evidence of the same. Also Such recording may be relied upon by CIL Securities Limited as and when required to resolve the disputes in connection with the trading transactions.

3. Policy for Penny Stock:

Penny stocks are highly speculative and risky on account of lack of liquidity; large ask-bid spreads; small capitalization and limited followings and disclosure. Depending on the stock and market condition RMS reserves its right to refuse the Clients to trade in Penny stocks.

4. Setting up Client's Exposure Limits:

In Cash Market Segment, Clients are permitted to make purchase and make payment before the due date and in case of non-receipt of payment account is regularized by selling the shares available in the account.

In derivative segment we have margin based RMS system. Total deposits and securities of the clients are uploaded in the system for client to take exposure on the basis of available margin in his account. The open position is adjusted against gross exposure plus SPAN based margining system of the stock exchange and client is permitted to trade on the basis of deposits available in his account.

The client is responsible for all orders, including any orders that may be executed without the required Margin in the client account. If the client's order is executed despite a shortfall in the available Margin, the client shall make up the shortfall.

For intraday trading the client is allowed limit in excess of collateral deposited with CIL Securities Limited.

In case of shortfall CIL Securities Limited is entitled to liquidate/close out partially /fully all of the client's position for non-payment of funds / margins at its sole discretion and may determine which securities and/or other properties are to be sold or which contracts is to be enforced and CIL Securities Limited shall have unrestricted right of lien and set off against their outstanding dues.

5. Authorization for Auto/Manual Square Off:

CIL Securities Limited (CILS) would have the discretion to square off the position of clients in the following circumstances:

- 1) In case of Intraday trades, if the open buy position /sell position is neither squared off nor converted to Delivery by client's at least 30 (Thirty) minutes before the scheduled time of normal market closure.
- 2) In case of Intraday trades, where mark to market loss on the open position has reached the stipulated 80% of the margins placed with CIL Securities Limited.
- 3) Any position where the margin available in the client's account is less than authorised margins imposed by the exchange then there is a possibility of violation being reported to the exchange and the position may be reduced to bring it within the SPAN plus GROSS Exposure specified by the Exchange.
- 4) In all other cases where the margin or security placed by the client falls short of the required margin or where the Client has defaulted on their existing obligation.
- 5) "In case of available margin or security value goes below the required threshold margin due to fluctuation of price in the scrip etc."

When scrip is in the upper/lower circuit and the client position cannot be terminated, then (i) in case of long position, client would have to take delivery and bear associated costs, and (ii) in case of short position, client would have to bear auction and associated costs.

I/we agree that although the auto square off trigger point is pre-defined, subsequent to which CIL Securities Limited could exercise its discretion to carry out the square off within a reasonable period of time, the actual execution could happen at a price different from the trigger point and the client agree to bear the loss based on actual executed price. CIL Securities Limited shall also have the right to cancel any or all open orders/close any or all outstanding requests in case of margin shortfall.

CIL Securities Limited will not be responsible for any loss arising in case the auto square off does not take place due to certain reasons like price band, technicals etc. and it is the sole responsibility of the client to bear the same.

The stockbroker has the right but not the obligation, to sell/close/liquidate all / partially the open positions / securities / shares at the pre-defined square off time or when Mark to Market (MTM) percentage reaches or crosses stipulated margin percentage.

6. Disruption of Trading on account of unforeseen circumstances:

The electronic trading systems either at the exchange or in the Member's offices are vulnerable to temporary disruptions or failures. Due to such an unforeseen circumstances CIL Securities Limited may not be able to execute the desired transactions on a timely basis. CIL Securities Limited does not accept responsibility for any losses that the client may incur on such eventualities which is beyond its control.

7. Cancellation of Trades/Modification of requests:

The Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, CIL Securities Limited shall be entitled to cancel related contract(s) with client.

8. Shortages in obligations arising out of internal netting of trades:

- a) The securities delivered short are purchased from market by T+2 day and the purchase consideration (inclusive of all statutory taxes & levies) is debited to the short delivering seller.
- b) If securities cannot be purchased from market due to any force majeure reasons, the short delivering seller is debited on the date shares are purchased from the Stock Exchange (inclusive of all statutory taxes and levies).

9. Delay in receiving payout from Exchange:

In case of purchase of securities by the client, at times CIL Securities Limited may be unable to deliver the securities to the said purchaser on the payout day due to non-receipt of the securities from the stock exchange(s), the securities shall be delivered to the purchaser on the deliveries received from the exchange.

10. Auction or Close Out in Case of Market Shortage:

If the securities are not received on or before settlement date or the securities received are not in deliverable state or due to any reason whatsoever and client is not able to deliver securities and position is deliverable in market, the securities will be auctioned or closed out as per the rules of the concerned Exchange. Consequently, client will be responsible for any resulting losses and all associated cost including penalty levied by the exchange.

11. Pay-out of Securities/Funds:

The client agrees that CIL Securities Limited shall not be obliged to deliver any Securities or pay any money to the client unless and until the same has been received by CIL Securities Limited from the Exchange / clearing Corporation.

12. Imposition of penalty/delayed payment charges:

Client is liable to pay delayed payment charges for not making payment of their pay in/margin obligation on time as per the exchange requirement/schedule at the rate up to 1.50% per month.

The client agrees that the stockbroker may impose fines/penalties on client account where the stockbroker has to pay any fine or bear any punishment from any authority in connection with/as a consequence of/in relation to any of the orders/ trades/dealings/actions of the client and the same shall be borne by the client.

13. Authorization for Depositing Collateral with Exchange / Clearing House / Clearing Member:

I/We hereby authorize CIL Securities Limited that any securities placed by them as Margin may in turn be placed as margin by CIL Securities Limited with the Exchanges or Clearing Corporation or Clearing House/Clearing Member as deemed fit and as may be permitted by exchange / SEBI from time to time against my exposure.

14. Shortfall in Margins:

CIL Securities Limited is permitted at its sole and absolute discretion to impose additional margin (even though it is not imposed by the Exchanges) and the client shall be obliged to fulfill such additional margin requirements.

15. Authorisation of Inter segment balance transfer:

The client hereby authorizes the Stock Broker to transfer his/her/its debit/credit balances in the ledger account in any of the segments of the stock broker i.e. Cash / Derivatives Segment / Commodity Segment.

16. The right to sell client's securities or close clients' positions:

The client shall ensure timely availability of funds / securities in designated bank and depository account(s), for meeting his/her/its pay in obligation of funds and securities. In cases of securities lying in margin account/client beneficiary account and having corporate actions like Bonus, Stock split, Right issue etc., the benefit of shares received under Bonus, Stock split, Right issue etc. will be given when the shares is actually received in the stock broker / member designated demat account.

17. Authorised Person:

- a. The trading and other instructions for facilitating and carrying out business issued telephonically or through any other means by an authorized representative of the client shall be binding on the client.
- b. If any transactions, is executed on behalf of the client by any other person, and the same has been accepted by client from time to time on the basis of the contract note(s) /bills(s)/any other correspondence dispatched/communicated to the client and/or by part or full settlement of the said transaction(s) by the client, then such transactions shall be deemed to have been executed by the person authorized by the client and the client hereby agrees to ratify and accept all such or other actions of such persons and undertakes to meet all such obligations arising from those transaction(s).

18. Brokerage and other Charges:

The client shall pay brokerage fees and other charges as levies by CIL Securities Limited, at rates mutually agreed upon. The brokerage shall be paid in the manner intimated by CIL Securities Limited, as a percentage of the value of the trade or as flat fee etc. together with GST as maybe applicable from time to time. The client further agrees to pay any applicable taxes that may be levied on the transaction. The client also agrees and authorizes the stock broker, upon receipt of intimation from designated depository/repository participant, to debit the trading account of the client towards depository/repository charges payable by the client to designated depository/repository participant and make onward payment to the designated depository/repository participant.

19. Applicable Brokerage Rate:

Brokerage is charged within the limits prescribed by SEBI / Exchange and on account of market dynamics brokerage is required to be revised from time to time and the brokerage rate appearing in the contract Note will be deemed to be the agreed brokerage rate between the client and the broker

20. Policy on In active Accounts:

Client Account will be considered as inactive if the client does not trade for a period of 12 months.

21. Intimation of Change in Client Information:

During the currency of the agreement, it shall be the duty of the client to inform CIL immediately on the change in constitution, identity by change of name, residential status or any other information as provided by the client at the time of opening account with CIL Securities Limited.

22. Internet / Wireless Technology Based Trading:

The client is aware that the authentication technologies and strict security measures are required for the internet trading and undertakes to ensure that the security code(s) remains confidential and CIL Securities Limited will not be responsible for any client lapses.

23. Protection of Client Account:

The client shall immediately notify CIL Securities Limited in writing, delivered via e-mail and registered AD, if the client becomes aware of any loss, theft or unauthorized use of the clients account. Any failure by the client to receive an accurate written confirmation of execution including the contract note for the same; or any receipt by the client of confirmation of an order and/or execution which the client did not place; or any inaccurate information in the client's account balances, securities positions, or transaction history should be informed to CIL Securities Limited immediately.

CIL Securities Limited does not warrant that Service will be uninterrupted or error free. The Services are offered to the client on an "as is" and "as available" basis. Without limiting the generality of the foregoing, The Member specifically disclaims any guarantee or warranty that the channels will be secure, uninterrupted or error free and that there are no viruses or harmful content; that the content on CIL Securities Limited website is correct, accurate, reliable, timely, legal and of any specific quality.

The Client agrees that under no circumstances, including negligence, shall CIL Securities Limited or anyone involved in creating, producing, delivering or managing the Member services or system will be liable for any direct, indirect, incidental, special, general, remote or consequential damages arising out of the use or inability to use or the availability or non-availability of the services, including, but not limited to loss or damage in relation to, (a) lost profits, trading losses, loss of opportunity or damages that result from interruption, delay or loss of the use of the service (b) any claim, loss or damage attributable to errors, omissions or other inaccuracies in the content or data on the Member website or any other matter relating to the Services.

24. Indemnification:

The client shall indemnify and keep indemnified CIL Securities Limited harmless from and against all claims, demands, actions, proceedings, loss, damages, liabilities, charges and/or expenses that are occasioned directly or indirectly, as a result of fake/forged documents that are introduced by / through the client during the course of its dealings/operations on the Exchanges.

25. Temporarily suspending or closing a client's account at the client's request:

The client account can be suspended on the written request of the client.

On the request of the client in writing, the client account is closed provided the client account is regular and is without arrears.

26. Deregistering a client:

The stock broker / member shall be entitled to terminate the agreement in any of the following circumstances:

- a) If the action of the client is prima facie illegal / improper.
- b) On the death/lunacy or other disability of the Client;
- c) If the client being a partnership firm, has taken steps for dissolution of the partnership;
- d) If the Client suffers adverse material change in his/her/its financial position or defaults with any other Stockbroker;
- e) If there is reasonable apprehension that the Client is unable to pay its debts; and is likely not to honour its commitments;
- f) If the Client is in breach of any term, condition or covenant of this Agreement;
- g) If the Client has made any material misrepresentation of acts;
- h) If a receiver, administrator or liquidator has been appointed;
- i) If the client has suffered any action for its reorganization, liquidation or dissolution;
- j) If the Client has become the subject matter of proceedings under any bankruptcy or insolvency law or being a company, goes in to liquidation.
- k) If any covenant or warranty of the Client is incorrect or untrue;

27. In active Client account:

Client account will be considered as inactive if the client does not trade for a period of one year.

28. Amendment:

CIL Securities Limited from time to time may amend the terms and conditions if required, for complying with any change in statute, Regulation or with the requirements of any competent authority or if required under these corporate policies. The same shall be intimated to the client by **CIL Securities Limited** subsequent to the intimation of such amendments and it shall be deemed that the client is agreeable to the new clauses. However, the client has the right to terminate the agreement through communication in writing subject to the client meeting his financial and other obligation.

29. Force Majeure:

CIL Securities Limited shall not be responsible for any losses, costs or damages resulting directly or indirectly from any action, omission, suspension or trading, decision or ruling of any exchange or regulatory, Governmental or other body or of any other person which is beyond the Member's control; or, any war, strike, lockout, national disaster, act of terrorism, delay in postal service or any other delay or inaccuracy in the transmission of orders or other information, or any breakdown; failure or malfunction beyond the control of the Member of any telecommunication or computer system. The above Force Majeure events do not exempt the Client however to fulfill their obligations to CIL Securities Limited.

30. Termination:

Either party may terminate the Terms and conditions by giving to other party not less than thirty calendar days prior notice in writing (such termination becoming effective upon expiry of such notice), provided that, in either case, such termination shall not affect any transaction or any obligation under this terms and conditions (including that of indemnity) which is then outstanding and the provisions of this terms and conditions shall continue to apply to each such transaction and each obligation until all the obligations of each party to other under this terms and conditions and each such transaction have been fully performed.

31. Assignment:

Either party to this Terms and conditions shall not assign or transfer all or any of its rights and obligations hereunder without the prior consent of the other party.

32. Severance:

In case anyone or more of the provisions contained in this Terms and conditions becomes invalid, illegal or unenforceable in any respect under any law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired hereto.

33. Notices:

All notices or communication issued under this terms and conditions shall be served in anyone or more or all of the following ways and such notice or communication under (a) to (l) below shall be served at ordinary place of residence and or last known address of the party in anyone of the following ways.

a) by post, b) by registered post, c) under certificate of posting, d) by express delivery post, e) by telegram f) by affixing it on the door at the last known business or residential address g) by communication or on last known tele phone number or on the recording machine of such number h) by advertising it in at least one prominent daily newspaper having circulation in the area where last known business or residential address of the Respondent is situated i) by sending a message through trading system j) by a notice posted on the notice board of the Exchange if no address be known k) by electronic mail or fax l) by hand delivery.

Any communication sent by the Member to the Client shall be deemed to have been properly delivered or served, even if such communication is returned to the Member as unclaimed/ refused/ undelivered, if the same is sent to the ordinary business address and or ordinary place of residence and or last known address of the party, in anyone or more of the ways as mentioned above.

34. Client Indemnity:

The CLIENT confirms and assures that in the event of a default of any exchange or clearing corporation relating to settlement of trades or payout of funds or Securities/Commodities, CIL Securities Limited shall not be held responsible for such defaults. In all such events, there will be no obligation on the part of CIL Securities Limited towards any aggrieved clients and no clients should hold CIL Securities Limited responsible for such defaults/negligence on the part of respective exchange/clearing corporation. Client shall not claim for any loss or damage due to default of any exchange or clearing corporation.

35. Jurisdiction:

Trades, transactions, and contracts are subject to the Rules and Regulations and byelaw of the respective Exchanges where the trades have been executed and the parties to such trade shall be deemed to have submitted to arbitration proceedings as per exchange Rules and Regulations of the respective Exchange.

36. Non Jurisdiction:

This service does not constitute an offer to sale or solicitation of an offer to buy any securities / commodities to any person in any jurisdiction where it is unlawful to make such offer or solicitation. This service is not registered under any securities law of any foreign jurisdiction and is only for the information of any person in any jurisdiction where it may be lawful to offer such a service.

37. Proprietary Trading:

The Member discloses herewith that it undertakes/ may undertake Proprietary Trading in addition to client based Trading.

38. Client Unpaid Securities Account:

Clients are permitted to purchase shares and to make payment on or before the pay in date.

In the event of non-receipt of payment, the client agrees as under:-

- 1) That the shares can be transferred to the Client Demat Account (with POA given to the Member)
- 2) That the shares can also be transferred to the Client Unpaid Securities Account .
- 3) That any of the shares lying in Client Unpaid Securities Account can be sold to clear the debit balance.
- 4) That the shares can be transferred from Client Unpaid Securities Account to client account even when the client debit balance is not cleared.
- 5) That the client will not be permitted to take any fresh position when there is continuous debit in the client account for 5 trading days from payout date.

However debit balance on account of DP Charges / Interest / incidental charges etc. shall be ignored for the purpose of considering client debit balance

(12.2/19)

Signature of Client

AUTHORISATION

I/we authorise Mr./Ms. _____
having PAN No. _____ to do business, place, execute, modify or cancel orders to buy and sell, shares and securities and commodities, and to receive & deliver the following items from/to your organisation: Cheques, Demand drafts, Funds, Deliveries, Documents, Contracts Notes, Acknowledgments, Account Statements, etc. This authorisation shall remain in force unless / otherwise it is revoked in writing and such revocation is intimated to you in writing.

(13/19)

Signature of Client

Opting for SMS and Email alerts to Investors by Stock Exchanges; DP'S & CILSecurities Ltd. VOLUNTARY

I/We am/are aware that National Stock exchange of India (NSE), Bombay Stock exchange (BSE), Multi Commodity exchange of India (MCX) and Central Depository Services Limited (CDSL) provides SMS/Email alerts to the constituents (clients) of its member for trades and transactions executed on its platform. I/We hereby provide and confirm my/our mobile number and/or email address as stated below for the purpose of receipt of SMS/Email alerts.

I/we _____
having Client Code _____ do hereby opt for the following offered by CIL Securities Limited and/or Stock Exchanges / Commodities Exchanges / DP. SMS and Email Email SMS I do not want any alert facility (Please tick the appropriate box / facility opted.

The following is my/our Mobile Number and / or Email address:

Mobile No. _____

Email Address _____

I declare that above mobile number is in my name / in my family member's name (Self/Spouse/Dependent Parents /Dependent Children) (tick the relationship) with Client Code _____ with CIL Securities Limited.

I declare that above Email id is in my name/ in my family member's name (Self/Spouse/Dependent Parents /Dependent Children) (tick the relation-ship) with Client Code: _____ with CIL Securities Limited.

I / We agree to the terms and conditions specified by SEBI in Circular No.CIR/MIRSD/15/2011 dated August 02 2011, We/I are/ am aware that receipt of SMS/Email alerts on the above mobile number and/or email address can be stopped only on our/ my written request.

We are also aware that this is an additional facility provided by the exchange and we shall not rely or use such data for any purpose and NSE / BSE / MCX / CDSL shall not be directly liable for any direct and indirect loss because of providing this additional facility.

In case of any change in the above mentioned mobile number/email id. I/we will inform you immediately in writing request. I will accept the alerts and information from CILS/Exchanges/CDSL related to trading and DP services on the above mentioned mobile number and email address.

Signature of Applicant

I
a Client of M/s.CIL Securities Limited and trading in BSE, NSE, MCX and having a DP Account with CDSL would like to opt to receive E-Contracts

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and I am a regular internet user, having sufficient knowledge of handling the email operations.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above Email ID.

[The above declaration and the guidelines on ECN given in the Form KYC have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note and do here by take full responsibility for the same]

Signature of Applicant 15/19 X

RUNNING ACCOUNT (VOLUNTARY)

I/We hereby authorize you to maintain a Running Account with the following objectives:

1. I/we request you to keep my/our funds/securities given for Margin purpose/given as security deposit with you only without insisting me/ us to take payment/ delivery within 1 working day of the pay-out received from the exchange I/we also hereby request you to do inter segment/interexchange transfer of excess balances lying in my/our BSE/NSE/MCX accounts maintained with you.
2. In case I/we have any outstanding obligations on the settlement date, you have the right to retain securities/funds as follow:
 - ❖ In respect of Derivative Market transactions, apart from margin liability as on the date of settlement, you have the right to retain additional margins (maximum up-to 125% of margin requirement on the day of settlement) to take care of any margin obligation arising in next 5days
 - ❖ In respect of Cash Market transactions, you have the right to retain the entire pay-in obligation of funds and securities due as on the date of settlement and for next day's business, you may retain funds/ securities/margin to the extent of value of transactions executed on the day of such settlement.
3. In spite of this authorization, I/we shall retain the right to withdraw funds/securities/commodities at any time after submitting a formal request for the same.
4. I/we also undertake to bring any dispute arising from the statement of account or settlement so made preferably within 7 working days from the date of receipt of funds/securities/commodities or statement, as the case may be.
5. I/We hereby authorize M/s CIL Securities Limited to retain amount in my account up to Rs 10,000/- (Net amount across segments and across stock exchanges) until specific instructions are given by me/us for release of the said amount. Further, I/ We understand that this threshold limit is not applicable in case I/We have not traded even once during the last one Month/Quarter as per the preference selected by me/us for settlement.
6. I hereby give my consent to maintain my account as a running account, and authorize you to settle my account of Funds and Securities lying in client collateral / collateral account at least
 - Once in a Calendar Quarter
 - Once in a Calendar Month
7. The running account authorization shall be valid and can be explicitly revoked by me and till such time I revoke my authority, the authorization shall be valid

Signature of Applicant 16/19 X

Name:

DEMAT ACCOUNT OTHER DETAILS

SECOND HOLDER DETAILS			
Second Holder's Name			
PAN No.	Date of Birth	Mobile No.	
Email Id			
Occupation	(Please tick (√) any one and give brief details): <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Retired <input type="checkbox"/> Government Service <input type="checkbox"/> House wife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify)		
Annual Income Net worth details	(Please tick(√): <input type="checkbox"/> Below 1 Lakh <input type="checkbox"/> 1-5 Lakhs <input type="checkbox"/> 5-10 Lakhs <input type="checkbox"/> 10-25 Lakhs <input type="checkbox"/> >25 Lakhs (Net worth should not be older than 1 year) as on(date)		
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person(PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)		

Note: In case of Second holder details update, a separate CKYC Form, ID and Address proof should be given by the 2nd Holder. This format can be downloaded from <http://www.cilsecurities.com>

THIRD HOLDER DETAILS			
Third Holder's Name			
PAN No.	Date of Birth	Mobile No.	
Email Id			
Occupation	(Please tick (√) any one and give brief details): <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Retired <input type="checkbox"/> Government Service <input type="checkbox"/> House wife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify)		
Annual Income Net worth details	(Please tick(√): <input type="checkbox"/> Below 1 Lakh <input type="checkbox"/> 1-5 Lakhs <input type="checkbox"/> 5-10 Lakhs <input type="checkbox"/> 10-25 Lakhs <input type="checkbox"/> >25 Lakhs (Net worth should not be older than 1 year) as on(date)		
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person(PEP) <input type="checkbox"/> Related to Politically Exposed Person(RPEP)		

Note: In case of Third holder details update, a separate CKYC form, ID and Address proof should be given by the 3rd Holder. This format can be downloaded from www.cilsecurities.com

17/19 Signature of Sole/First Holder	Signature of Second Holder	Signature of Third Holder
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(Note: To be signed by the Client himself/herself and not by his Attorney/Authorized person etc.)

I/we instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'YES')	(Automatic Credit) <input type="checkbox"/> YES <input type="checkbox"/> NO
I/we would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (if not marked, the default option would be "No")	<input type="checkbox"/> YES <input type="checkbox"/> NO
To Receive Delivery Instruction Slip Book	<input type="checkbox"/> YES <input type="checkbox"/> NO
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I/we request you to send Electronic Transaction-cum-Holding Statement at the email ID: _____	<input type="checkbox"/> YES <input type="checkbox"/> NO
I/we would like to share the email ID with the RTA	<input type="checkbox"/> YES <input type="checkbox"/> NO
Alternative Mobile & Email Id for DP	
I/we would like to receive the Annual Report <input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked, the default option would be in Physical)	
I/we wish to receive dividend/interest directly in to My bank account as given below through ECS? (if not marked, the default option would be 'YES') {ECS is mandatory for locations notified by SEBI from time to time}	<input type="checkbox"/> YES <input type="checkbox"/> NO

SMS Alert Facility	MOBILE No. _____ (Mandatory, if you are giving Power of Attorney (POA) (if POA is not granted & you do not wish to avail of this facility, cancel this option)	<input type="checkbox"/> YES <input type="checkbox"/> NO
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Note: To Register for EASI please visit website www.cdslindia.com where BO can view ISIN balances, transactions and value of the portfolio online.

DECLARATION FOR AVAILING BASIC SERVICES DEMAT ACCOUNT (BSDA) FACILITY

I/We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time. I/We also agree that in case our demat account opened under BSDA facility does not meet the eligibility for BSDA facility as per guideline issued by SEBI or any such authority at any point of time, my / our BSDA account will be converted to regular demat account without further reference to me/us and will be levied charges as applicable to regular accounts as informed by the DP.

BSDA Transaction Charges for Debits Rs.35/- for Holding Value Less than Rs.50000/- & AMC – NIL

BSDA Transaction Charges for Debits Rs.35/- for Holding Value between Rs.50001/- to Rs.200000/- & **Rs.100/- AMC**

BSDA Transaction Charges for Debits as per scheme opted for Holding Value greater than Rs.200000/-.

18/19 Signature of Sole/First Holder Name:	Signature of Second Holder Name:	Signature of Third Holder Name:
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Or

Declaration for not availing Basic Services Demat Account (BSDA) Facility

I/We do not wish to avail the BSDA scheme even though my account is eligible for BSDA as per SEBI guidelines.

18/19 X Signature of Sole/First Holder Name:	Signature of Second Holder Name:	Signature of Third Holder Name:
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ACKNOWLEDGEMENT

(Confirmation of receipt of executed documents)

Dear Sir,

I/We hereby acknowledge the receipt of copy of KYC, RDD, rights and obligation, Guidance Note-Do's and Don'ts, (PMLA) Guidelines and other documents as executed by me/us.

The said copies of mandatory documents are also uploaded on the website for my information.

Signature of Client: 19/19 _____